



www.uspto.gov

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231

APPLICATION NUMBER

FILING/RECEIPT DATE

tates Patent and Trademark Office

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/682,314

08/17/2001

James Kenneth Aragones

RD-28217

CONFIRMATION NO. 2332 FORMALITIES LETTER

006147 GENERAL ELECTRIC COMPANY CRD PATENT DOCKET ROOM 4A59

POBOX8 **BUILDING K 1 SALAMONE** SCHENECTADY, NY 12301

OC000000006473111

Date Mailed: 08/27/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09682314 10/11/2001 BABRAHA1 00000067 070868

01 FC:105

130.00 CH

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner

for Patents Washington, D.C. 20231 Date of Deposit

Printed name and Signature

OPE		#3
PTO FORM 3.76	Patent & Trademark Office - U.S. Commerce	Date
DEPOSIT AC	COUNT ORDER FORM	10/04/01
Accounts, IT MUST AND USED FOR orders when used for ordering printed copies of U.S. Patents. Use	nich are to be charged against Patent and Trademark Offic payment is made by cash, checks, or coupons. In addition a separate form for each of the following types of service of the Reports, Translations. Mail to: Commissioner of Pate	on, it should not be ordered: Correction
	FOR OFFICE	E USE ONLY
NAME AND ADDRESS OF DEPOSITOR General Electric Company CR&D Patent Docket Room M.N. Salamone		
Building K-1, Room 4A59 P.O. Box 8		
Schenectady, New York 12301		84
TOO CONTAINED TO	WOUR ORDER MUMBER	
ACCOUNT NUMBER	YOUR ORDER NUMBER	
07-0868	<u> </u>	
DESCRIPTION OF ARTICLES OR SERVICES TO BE FURNISHED		
Please charge \$130.00 to Deposit Account 07-0868 for a surcharge for filing a Declaration on a date later		
than the filing date of the application:		
i hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner		
Inventor: JAMES K. ARAGONES, ET A	Date of Deposit October 3, 200	/
Serial No.: 09/682,314	Printed name and Signature VIVIAN	BLANDON HALL
Filed: August 17, 2001		
For: SYSTEM, METHOD AND COMPUTER PRODUCT FOR BASELINE MODELING A PRODUCT OR PROCESS		
GE Co. Docket No.: RD-28,217		
Group Art Unit: 2123		
Iditional space is needed attach separate sheet		
(signature) DAVID C. GOLDMAN		
For prompt, accurate shipment please complete the following mailing labelplease print or typewrite Registration No.: 34,336		

U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office Washington, D.C. 20231 OFFICIAL BUSINESS Return after five days

YOUR ORDER NUMBER

General Electric Company CRD Patent Docket Rm 4A59 P.O. Box 8, Bldg. K1 - Salamone Schenectady, New York 12301